



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jeffrey Capone et al.  
Title: METHOD AND FRAMEWORK FOR  
TRANSACTION  
SYNCHRONIZATION  
Appl. No.: 10/652,101  
Filing Date: 08/28/2003  
Examiner: Unassigned  
Art Unit: Unassigned

**CERTIFICATE OF MAILING**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

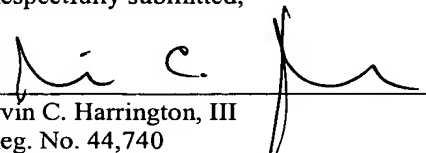
Commissioner:

I hereby certify that the following paper(s) and/or fee along with any attachments referred to or identified as being attached or enclosed are being deposited with the United States Postal Service as First Class Mail under 37 C.F.R. § 1.8(a) on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450.

1. Information Disclosure Statement
2. PTO/SB/08 form (11 references listed; 1 reference enclosed)
3. Postcard

December 9, 2003  
Date

Respectfully submitted,

  
Irvin C. Harrington, III  
Reg. No. 44,740

Foley & Lardner  
2029 Century Park East, 35<sup>th</sup> Floor  
Los Angeles, CA 90067-3021  
Telephone: 310-277-2223  
Facsimile: 310-557-8475



Atty. Dkt. No. 038927-0203

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Jeffrey Capone et al.

Title: METHOD AND FRAMEWORK  
FOR TRANSACTION  
SYNCHRONIZATION

Appl. No.: 10/652,101

Filing Date: 08/28/2003

Examiner: Unassigned

Art Unit: Unassigned

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document, except as noted below, is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The USPTO has waived the requirement under 37 CFR 1.98(a)(2)(i) to submit copies of U.S. patents and U.S. patent application publications when citing and submitting an Information Disclosure Statements in a patent application filed after June 30, 2003 and in an international application that has entered the national stage under 37 USC §371 after June 30, 2003. Accordingly, copies of these types of documents are not being supplied in connection with this application. Reference is being made to Pre-OG Notice from Office of Patent Legal Administration dated July 25, 2003, *Information Disclosure Statements May Be Filed Without*



*Copies of Unpublished Patents and Published Applications in Patent Applications filed after June 30, 2003.*

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

#### **TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

#### **RELEVANCE OF EACH DOCUMENT**

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Respectfully submitted,

Date December 9, 2003

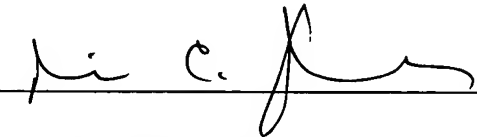
FOLEY & LARDNER

Customer Number: 23392

Telephone: (310) 975-7961

Facsimile: (310) 557-8475

By

A handwritten signature in black ink, appearing to read 'Irvin C. Harrington', written over a horizontal line.

Irvin C. Harrington

Attorney for Applicant

Registration No. 44,740